Clinical Efficacy and Safety of Controlled Distraction-Compression Technique Using Expandable Titanium Cage in Correction of Posttraumatic Kyphosis

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Objective: To investigate the clinical efficacy and safety of the controlled distraction-compression technique using an expandable titanium cage (ETC) in posttraumatic kyphosis (PTK).

Methods: We retrospectively studied and collected data on 20 patients with PTK. From January 2014 to December 2017, the controlled distraction-compression technique using ETC was consecutively performed in 20 patients with PTK of the thoracolumbar zone (range, 36–82 years). Among them, nine were males and 11 were females and the mean age was 61.5 years. The patients were followed regularly at 1, 3, 6, and 12 months, and the last follow-up was more than 2 years after surgery.

Results: The mean follow-up period was 27.3±7.3 months (range, 14–48). The average operation time was 286.8±33.1 minutes (range, 225–365). The preoperative regional kyphotic angle (RKA) ranged from 35.6° to 70.6° with an average of 47.5°±8.1°. The immediate postoperative mean RKA was 5.9°±3.8° (86.2% correction rate, p=0.000), and at the last follow-up more than 2 years later, the mean RKA was 9.2°±4.9° (80.2% correction rate, p=0.000). The preoperative mean thoracolumbar kyphosis was 49.1°±9.2° and was corrected to an average of 8.8°±5.3° immediately after surgery (p=0.000). At the last follow-up, a correction of 11.9°±6.3° was obtained (p=0.000). The preoperative mean back visual analog scale (VAS) score was 7.9±0.8 and at the last follow-up, the VAS score was improved to a mean of 2.3±1.0 with a 70.9% correction rate (p=0.000). The preoperative mean Oswestry disability index (ODI) score was 32.3±6.9 (64.6%) and the last follow-up ODI score was improved to a mean of 6.85±2.9 (3.7%) with a 78.8% correction rate (p=0.000). The overall complication was 15%, with two of distal junctional fractures and one of proximal junctional kyphosis and screw loosening. However, there were no complications directly related to the operation.

Conclusion: PVCR through the controlled distraction-compression technique using ETC showed safe and good results in terms of complications, and clinical and radiologic outcomes in PTK. However, to further evaluate the efficacy of this surgical procedure, more patients need long-term follow-up and there is a need to apply it to other diseases.

Key Words: Controlled distraction · Vertebral column resection · Kyphosis · Cage, expandable.
INTRODUCTION

Posttraumatic kyphosis (PTK) is a complication of vertebral fracture that causes axial pain or neurological deficit due to poor reduction, unrecognized instability at the beginning of treatment, pseudarthrosis, or premature removal of the instrument \(^{9,20}\). For PTK, most of the fractures are united and stabilized, so back pain does not occur directly there. Instead, pain is often caused by compensatory lumbar hyperlordosis or early degenerative changes of the facet joints \(^{11}\). In addition, pain and neurological deficits may be exacerbated due to the weakness of back muscles and an anterior shift of the line of gravity over time \(^{29}\). In general, the surgical goals are to relieve pain, establish normal sagittal balance, neural decompression, and ensure a solid bony union. Among various PTK surgery, a posterior-only approach has been widely used, which is familiar to most surgeons, easily accessible, and has good recent clinical outcomes. Posterior-based osteotomy seems to be the most important technique for PTK treatment. When large correction angles of 30–40° or greater are required, surgical procedures such as pedicle subtraction osteotomy (PSO), PSO variants, vertebral column resection (VCR) are used. Although these techniques can achieve a large angle of correction, but complications have been reported in 15% to 64% \(^{1,14,18,23,27,28,33}\). These techniques use posterior spinal shortening as a basic mechanism for a large correction angle. Therefore, over-shortening and redundancy of spinal cord are likely to occur, resulting in neurological deficits \(^{3,15}\).

When considering that one of the main goals is the correction of focal kyphosis, the surgeon must provide adequate anterior column support for the compressive forces and enough posterior instrumentation to support the correction for tensile forces and solid fusion. Without anterior support, excessive tensile forces can be placed on the posterior instrumentation, especially when the deformity consists of a focal miscalignment and global sagittal balance. In such situations, the loss of fixation, implant failure, loss of correction, and pseudarthrosis often result \(^9\).

Table 1. Patient demographics

<table>
<thead>
<tr>
<th>Case No.</th>
<th>Sex</th>
<th>Age (years)</th>
<th>Fx. level</th>
<th>Fusion level</th>
<th>OP time (minutes)</th>
<th>F/U period (months)</th>
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Fx.: fracture, OP : operation, F/U : follow-up, M : male, F : female
Hence, the authors designed modified technique for VCR with controlled distraction-compression using an expandable titanium cage (ETC; VLIFT® System; Stryker Spine, Kalamazoo, MI, USA) to reduce the complications of 3-column osteotomies and remove pain generator. The authors also described the clinical and radiological results of the technique in PTK.

**MATERIALS AND METHODS**

This study was approved by the Institutional Review Board of Gyeongsang National University (IRB No. GNUH 2020-11-013-001). The study was conducted with informed consent from all the patients included in the study.

**Patient population**

Twenty consecutive patients diagnosed with posttraumatic thoracolumbar kyphosis in which the minimal kyphotic angle was 30° were included in this study from January 2014 to December 2016 (Tables 1 and 2). There were nine males and 11 females with a mean age of 61.5 years (range, 36–82). The chief complaints of the patients were intractable pain interrupting daily life, stooping with cosmetic problems, and the inability of patients to lie down on their back due to a back prominence. Three patients showed preoperative low extremity weakness. The fracture levels were mainly in the thoracolumbar region (T9–L2). Back pain intensity was assessed by the visual analog scale (VAS). Clinical outcomes were measured by the Oswestry disability index (ODI). Neurological status was evaluated by the Frankel grade. All clinico-neurological evaluation was performed preoperatively and one year after surgery. Radiologic evaluations were performed preoperative-

**Table 2. Clinical and radiological outcomes**

<table>
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<tr>
<th>Case No.</th>
<th>Preop (°) RKA</th>
<th>Immediate postop TLK</th>
<th>Last F/U (°) RKA</th>
<th>Low back ODI Preop</th>
<th>Back VAS Preop</th>
<th>Δ (preop RKA - postop RKA) (°)</th>
<th>Frankel grade</th>
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RKA : regional kyphotic angle, TLK : thoracolumbar kyphosis, F/U : follow-up, ODI : Oswestry disability index, VAS : visual analog scale
ly, immediately after surgery, 3 months, 6 months, 1 year, and more than 2 years postoperatively.

**Radiographic measurements**

The whole spine of the patient was evaluated by a standing X-ray that included anteroposterior and lateral views with visualization from the C7 vertebral body (VB) to the pelvis to evaluate global sagittal balance and compensatory curve. We also checked the dynamic view, and supine lateral view to evaluate the flexibility of the deformed spine for preoperative planning.

We measured the regional kyphotic angle (RKA) and thoracolumbar kyphotic angle (TLK) using Cobb’s method. The C7 sagittal vertical axis (SVA) and lumbar lordosis (LL) are also measured by the standard measurement technique. RKA was checked by Cobb’s angle between the upper endplate of one vertebra above the fractured vertebra and the lower endplate of one vertebra below the fractured vertebra. The deformity correction rate was calculated as \( \frac{\text{postoperative RKA} - \text{preoperative RKA}}{\text{preoperative RKA}} \times 100\% \).

Preoperative magnetic resonance imaging was routinely performed to exclude cord compression and intraspinal pathologies. We also performed 3-dimensional reconstruction computed tomography (CT) scanning not only for deformity assessment including the bony fusion state at the index level and around the bone preoperatively but also for the assessment of bone fusion during follow-up.

**Surgical technique**

All surgeries were performed by a single surgeon. Under general anesthesia, each patient was placed in the prone position with the extension of the hip and knee. All patients underwent intraoperative neuromonitoring (IONM) including somatosensory-evoked potential, motor-evoked potential, and free-running electromyography.

The overall surgical procedures were performed sequentially as follows: a standard midline incision was made with subperiosteal dissection extending to the transverse process (TP) and the medial rib portion, which was planned as a costotransversectomy. Pedicle screw fixation was performed from two or three levels above and below the level of the corpectomy according to bone quality (Fig. 1A). Additionally, we performed cement-augmented pedicle screw fixation in cases of poor bone quality and osteoporosis. Wide laminectomy, facetectomy, transversectomy with bilateral foraminotomy checking nerve root were done. Laminectomy was performed from the pedicle one level above to the disc space one level below at the site where the corpectomy was to be performed (Fig. 1B-D). The superior articular process one level below the corpectomy site was removed to secure for discectomy of the level below. For thoracic lesions, the degree of resected ribs depended on whether an ETC could be placed into the corpectomy site. Mostly, the affected rib was transected about 1–1.5 cm from the lateral border of the TP, unlike conventional surgery which removes the ribs 5–6 cm (Fig. 2). After the ipsilateral pediculectomy, the unilateral dissection of only one-third to one-half of the lateral aspect of VB was done unlike conventional surgery to dissect the entire lateral vertebral wall (Fig. 2). Partial discectomies above and below the corpectomy site were done with pituitary forceps to mark the corpectomy limits. We started the corpectomy cross midline while dissecting the ventral portion of the dura and VB in piecemeal fashion using an osteotome, rongeur, and pituitary forceps instead of a high-speed drill and curette as in other procedures (e.g., egg-shell osteotomy). Only one-third to one-half of the lateral wall of the VB was removed to secure enough space for the ETC (Fig. 2). After control of bone bleeding with hemostatic materials, contralateral transversectomy, partial pediculectomy, and corpectomy leaving the VB wall intact were performed with applying a temporary rod to the contralateral side. In general, fibrous tissue is formed in the front of the fractured vertebrae, so removing this fibrous tissue helps to correct kyphosis. However, we should be careful because removing too much can damage blood vessels. And then, removal of the remnant posterior VB wall under the dura was performed with a reverse-angled curette (Fig. 1E). Since there are often a high degree of adhesion between the posterior VB wall and posterior longitudinal ligament, it must be carefully peeled off not to tear dura. Then, the remaining discs above and below the corpectomy site should be carefully removed without damage to the bony endplate. After completion of the vertebral resection, we exchanged the short temporary rod for a pre-bent and suitably sized permanent rod (Fig. II). ETC filled with autologous and allogenic bone was inserted into the empty space in the collapsed state (Fig. IG). After placement, we expanded the ETC along with sagittal alignment until the anterior vertebral height was nearly similar to the posterior vertebral height with the temporary rod fixed (Fig. IH). Once
the cage was expanded, a large amount of additional bone chips were packed into the expanded cage and between the ETC and residual cortical shell (Fig. 1J and K). We did not routinely sacrifice the thoracic nerve roots if they were not severely disturbed when performing corpectomy or ETC insertion. For lumbar lesions, the ETC can be inserted in a direction perpendicular to the dura and rotated to expand (Fig. 3) because nerve roots often interfere with ETC insertion. Finally, the correction is completed by posterior compression (Fig. II).

Fig. 1. Pedicle screw fixation (A), and laminectomy and its limits (B and C). Laminectomy extent is between dashed lines (C). Partial discectomy and corpectomy with an osteotome and pituitary forceps (D), and removal of the remnant posterior vertebral body wall under the dura done with a reverse-angled curette (E). If the cap of the temporary rod was loosened temporarily before inserting the expandable titanium cage, the partial reduction of kyphosis by gravity could be confirmed (F). An expandable titanium cage (ETC) was inserted into the empty space in a collapsed state (G). After placement, we expanded the ETC along with sagittal alignment until the anterior vertebral height was nearly similar to the posterior vertebral height with a temporary rod fixed (H). The short temporary rod was exchanged for a pre-bent and suitably sized permanent rod (I). Once the cage was expanded, a large amount of additional allogeneous and autogenous bone chips were packed into the expanded cage and between the ETC and residual cortical shell (J and K).
Statistical analysis
We used analysis of variance to compare the preoperative and postoperative measurements in each group after consulting with a statistician. For the continuous variables, ANOVA with an unpaired t-test was used for the variables with normality, and Wilcoxon’s rank-sum test was used for the variables without normality. The categorical variables were assessed using the chi-squared test, compensated using Fisher’s exact test, and the linear mixed model was performed to evaluate every considerable factor. All statistical analyses were performed using R version 4.0.3 (R Core Team. R Foundation for Statistical Computing, Vienna, Austria) and the significance level was set to 0.05.

RESULTS
One-level VCR was performed in 19 patients and 1.5-level VCR in one patient. The mean instrumented fusion levels were 5.3 levels (range, 5–7). In the case of poor bone quality, we used alternative techniques including hook, instrumentation extension, or cement-augmented screw fixation to enhance the pull-out strength. The mean follow-up period was 27.3±7.3 months (range, 14–48). The mean operation time was 286.8±33.1 minutes (range, 225–365). The pain intensity, clinical outcome, and neurological status significantly improved compared to the preoperative status. The preoperative mean back VAS score was 7.9±0.8 and the last follow-up VAS score was improved to a mean of 2.3±1.0 with a 70.9% improvement (p=0.000).

The preoperative mean ODI score was 32.3±6.9 (64.6%) and the last follow-up ODI score was improved to a mean of 6.85±
2.9 (13.7%) with a 78.8% improvement \((p=0.000)\). Three patients had weakness in both lower extremities and one of them had bladder symptoms. One patient recovered normal motor power, but two patients recovered to a Frankel grade of D at the last follow-up. The patient with bladder symptoms was able to self-void with less than 100 mL of residual urine.

The preoperative RKA ranged from 35.6° to 70.6° with an average of 47.5°±8.1°. The immediate postoperative mean RKA was 5.9°±3.8° (86.2% correction rate, \(p=0.000\)), and at last follow-up more than 2 years later, it was 9.2°±4.9° (80.2% correction rate, \(p=0.000\)) with a mean loss of correction of 3.3°. The preoperative mean TLK was 49.1°±9.2° and was corrected to an average of 8.8°±5.3° immediately after surgery \((p=0.000)\). At the last follow-up, a correction of 11.9°±6.3° was obtained \((p=0.000)\), but a mean loss of correction of 3.1° was seen.

Although whole spine X-rays could not be performed in all patients due to weakness and severe pain, anecdotally, there was no significant change in the C7 SVA before and after surgery, but LL was decreased after surgery. Most patients seemed to have focal thoracolumbar deformities with compensatory mechanisms rather than global sagittal imbalance. Solid bone fusion was confirmed on the dynamic view and CT the second year after surgery in all patients except one (case 2). The overall fusion rate was 95%. The patient in case 2 was a cancer patient who died of the progression of non-small cell lung cancer 14 months after surgery.

There were three complications, for a 15% overall complication rate. Two patients (cases 2 and 10) had distal junctional fractures (DJFs) at 3 and 5 months after surgery, respectively. One patient (case 2, Fig. 4) had severe osteoporosis (bone min-

**Fig. 4.** Case 2. The whole spine X-ray (A) shows focal kyphosis in the thoracolumbar junction without global sagittal imbalance. The dynamic views (B-D) show rigid kyphosis with a 70° regional kyphosis angle (RKA) and 67° of thoracolumbar kyphosis (TLK). All RKA and TLK were improved to 6° immediately postoperatively (E). Although one patient developed a distal junctional fracture 3 months postoperatively (F, red arrow), the patient recovered well with conservative treatment.
eral density [BMD], -3.8) and was a cancer patient. We treated his new fracture with an additional Thoraco Lumbo Sacral Orthosis brace and pain control. The other patient (case 10) incurred a fracture after a fall and was also treated conserva-
vatively. One patient (case 18) had proximal junctional kyphosis (PJk) 6 months postoperatively and showed a halo sign of the T8 screw and T7–8 subluxation. He was older and had osteo-
porosis (BMD, -3.0) and weak back muscles. We revised this
patient with a proximal fusion extension to T5. Apart from these, there were no direct surgery-related complications in-
cluding spinal cord injury (SCI), deep or superficial wound
infections, hemopneumothorax, dural tears, or cerebrospinal
fluid leakage.

**DISCUSSION**

In PTK, abnormal vertebral alignment due to posterior de-
formation also changes the length and tension of the paraspi-
nous muscle, leading to fatigue and inflammation, resulting
in intractable back pain. Several authors reported that a
deformity of 30° or more had an increased risk of chronic pain
or progression. Considering the pathophysiology of PTK, we
performed surgical treatment for severe intractable pain de-
spite conservative treatment, neurological deficit, and poor
functional intolerance in PTK patients with a thoracolumbar
junction of at least 30° to correct kyphosis and alter the bio-
mechanics of the spine around the deformation.

Kyphosis correction through the anterior approach is often
difficult and disturbed by posterior structures and is associ-
ated with a high rate of pseudarthrosis and loss of correction.
However, a combined anterior and posterior approach is related to a high incidence of postoperative complications.
Therefore, it would be better used in cases of revision and pseudarthroses and pathologies across the lumbosacral junc-
tion. Because of these problems, surgeons usually perform posteriorly pedicle-based osteotomy in PTK surgery with de-
compression, kyphosis correction, and realignment. Osteoto-

dies, particularly posteriorly based pedicle subtraction tech-
tiques, seem to be the most important modalities in the
treatment of PTK. Posterior pedicle-based osteotomies in-
cluding PSO, VCR, and their modifications enabled simulta-
neous bi-directional access to the pathological location by
only a posterior approach. And, it is safe because the surgeon
can operate by directly seeing and confirming the healed bone
fragments compressing the nerve and spinal cord. It is more
advantageous for kyphosis correction by removing posterior structures such as a fused facet joint or fusion mass and ac-

![Image](https://doi.org/10.3340/jkns.2021.0147)
rupted discs penetrate the fractured gaps, and degenerative changes occur, causing chronic low back pain, instability, nonunion, and progression of the deformity. Therefore, the authors devised a surgical technique that could remove the disc causing back pain and reduce complications and prospectively applied this technique to the treatment of PTK with more than 30° of kyphosis. Our technique can remove the degenerated disc causing chronic back pain and is based on an anterior controlled distraction-compression technique using ETC without shortening of the cord, such as in other 3-column osteotomies, which has a relatively high risk of SCI.

The author’s surgical technique has several notable features compared to conventional PVCRs. First, our technique does not remove all the anterior and lateral walls of the VB like conventional PVCRs, instead, only one-third to one-half of the lateral walls of one VB was dissected and removed, enough to insert the ETC. We performed a minimal costotransversectomy that removes the rib head and about 1–1.5 cm of the rib from the lateral border of the TP. And, since the remaining thin rim of the cortical shell acts as a surrounding mechanical barrier for fitting and impaction of the bone graft, it is advantageous for bone union (Fig. 5). Second, there is a fundamental difference in that our surgical technique is a kyphosis correction technique accomplished mainly by anterior controlled distraction instead of correction by posterior spinal shortening. Since Wang et al.31 published a modified PVCR technique, several authors have published additional modified PVCRs8,24,30, but the fundamental technique of surgery is not significantly different from the posterior shortening correction method using Wang’s transpedicular eggshell technique.

SCI is known to occur not only by cord shortening but also by distraction and has been reported in adolescent idiopathic scoliosis surgery and distraction SCI animal models5,13,32,34. Wu et al.32 reported that no SCI occurred when distracted between L1 and L3 segments by 20% in experiments including 32 rabbits. Considering that the length of the same segment of a human is 90–100 mm29, this is equivalent to 18–20 mm in humans and is reported to be a safe zone for spinal surgery. In another group, SCI was reported in distractions of 20.2±4.7 mm, which corresponds to 3.6% of the TL junction length and 74.3% of the segmental vertebral height, in the global osteotomy of 3-column pig models13,34. Thus, in some animal experiments, the cutoff value for distraction SCI in humans can be approximated to about 20 mm, but it is not possible to directly compare animal cords to those of humans. Furthermore, no paper has suggested a definite extent of distraction that is safe in clinical practice, and further research on this is needed in the future.

As seen in animal studies, cords are very vulnerable to distraction injury. Therefore, the authors used a controlled distraction technique using an ETC as a defense mechanism to SCI resulting from excessive distraction. In most cases, the ETC is made to the minimum size to insert into the vertebrectomy site and distracted under fluoroscopic guidance. If the cap of the temporary rod is loosened temporarily before inserting the ETC, partial reduction of the kyphosis by gravity can be confirmed (Fig. 1F). To prevent over-distraction and translation of the cord, the temporary rod on the opposite side of the ETC insertion was fixed and distraction was performed until the modular end caps on both sides were touched on the bony endplate. Afterward, further distraction was done until firm resistance is felt at both bony endplates. The authors set the distraction level of the anterior vertebral height within a range not exceeding the posterior vertebral height to minimize cord distraction because the anterior column is in a shortened state and the posterior column is in a relatively nor-
mal state in PTK. After that, a permanent rod was applied to the ipsilateral side and the screw head was compressed for further kyphosis correction. Finally, the opposite temporary short rod was replaced with a permanent rod and then compressed so that the upper and lower end plates were parallel (Fig. 1I). The entire procedure was performed with IONM. In this study, there was no change in IONM in any patients during the operation.

Although the main kyphosis correction is caused by anterior distraction, this alone in most cases does not completely correct the kyphosis. Thus, posterior compression is needed not only for this purpose but also to offset a possible distraction force to the cord to some extent. With this technique, we were able to obtain a mean kyphosis correction angle of 5.9°±3.8° immediately after surgery and 9.2°±4.9° at the last follow-up, which was 47.5°±8.1° before surgery. The mean RKA correction rates were 86.2% and 80.2%, respectively.

Some authors reported that the prevention of kyphosis recurrence by stabilization of the vertebral column through sound bone union is more important than the amount of kyphosis correction. Generally, to achieve bone fusion with kyphosis correction, which is the main goal of deformity surgery, enough anterior column support is mandatory with compressive forces and adequate posterior instrumentation to support the correction for tensile force. In this study, the bone union rate was 95% through ETC, but a higher bone union rate would be expected when the patient who died of cancer during follow-up was excluded from the analysis. Therefore, ETC is thought to not only be the main kyphosis correction device by regulating distraction but also an effective anterior column reconstruction device. In addition, ETC simultaneously serves to prevent excessive shortening of the spinal cord.

The complication rate in this study was 15% (two DJF, one PJK). There were no symptoms due to SCI or nerve injury after surgery. In addition, there was no vessel injury, pleural injury with hemothorax, or other complications such as deep or superficial surgical site infections. In conclusion, we could safely and effectively operate without any direct complications related to surgery.

The authors have used this surgical technique in the operation of other diseases like spinal metastasis, acute spinal trauma, and infectious spondylitis and have been able to safely accomplish decompression including the removal of pathology and anterior column reconstruction without using the anterior approach.

There were some limitations to this study including retrospective study and relative small sample size. This study was limited to PTK, most of which were one-level vertebrectomy (excluding one case) and the patients with preoperative RKA usually were not severe. Therefore, to evaluate the clinical efficacy and safety of this surgical technique more accurately, it is necessary to apply the technique to other disease groups (e.g., Pott’s kyphosis and congenital kyphoscoliosis) with severe kyphosis and fusion of several segments. It is also necessary to conduct a comparative study with conventional VCR and further research in this area is planned.

CONCLUSION

PVCR through the controlled distraction-compression technique using an ETC showed safe and good results in terms of complications, clinical, and radiological outcomes in PTK. However, to further evaluate the efficacy of this surgical procedure, more patients with long-term follow-up and the application to other diseases are needed.

CONFLICTS OF INTEREST

No potential conflict of interest relevant to this article was reported.

INFORMED CONSENT

Informed consent was obtained from all individual participants included in this study.

AUTHOR CONTRIBUTIONS

Conceptualization: DK, SJL
Data curation: DK, DHK
Formal analysis: DK
Methodology: DK
Project administration: DK
Visualization: DK
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